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This is an amendment to 1.13.12 NMAC, Sections 9, 10 and 11 effective 06/12/2018.

- **1.13.12.9 DESIGNATED RECORDS CUSTODIAN:** If a statutory records custodian elects to designate an individual to serve on his or her behalf as a designated records custodian, the following requirements and responsibilities are assigned.
- **A.** The designated records custodian shall be the individual responsible for satisfying all statutory requirements of the records custodian as delineated in the Public Records Act (14-3-1 NMSA, 1978).
- **B.** All designated records custodians shall attend the required basic records management training offered by the state commission of public records before they can store, withdraw, access or request the disposition of records.
- **C.** Designated records custodians are required to attend the basic records management training once every three <u>fiscal</u> years.

[1.13.12.9 NMAC - N, 11/28/2017; A, 06/12/2018]

- **1.13.12.10 CHIEF RECORDS OFFICER:** If a chief records officer is designated by the records custodian, the following responsibilities are assigned.
- **A.** The chief records officer shall be the individual with the authority to oversee the agency's records management program.
 - **B.** The chief records officer shall perform the following duties:
- (1) coordinate the response to the disposition authorization (destruction and transfer to state archives);
- establish and maintain a centralized tracking system for the agency's storage containers (including the containers' indices, metadata and locators) and the disposition of records;
- (3) disseminate information on any pending litigation, a discovery order, subpoena, government investigation or audit;
 - (4) ensure staff is adequately trained on proper records management practices; and
- (5) develop policies and procedures pertaining to records management issues (i.e., handling confidential materials, new hire orientation, e-mail management, disposition of records when an employee leaves the agency, metadata development, etc.).
- C. The chief records officer shall have the same authorities and responsibilities as a record liaison officer. The chief records officer shall have the authority to submit records for direct transfer to archives.
- **D.** All chief records officers shall attend the required basic records management training offered by the state commission of public records before they can store, withdraw, access or request the disposition of records.
- **E.** Chief records officers are required to attend the basic records management training once every three <u>fiscal</u> years.

[1.13.12.10 NMAC - Rp, 1.13.12.9, 11/28/2017; A, 06/12/2018]

- **1.13.12.11 RECORDS LIAISON OFFICER:** If a record liaison officer is designated by the records custodian, the following responsibilities are assigned.
- **A.** Records liaison officers shall be authorized to interact with the state commission of public records and the state records administrator for the purposes of storage, withdrawal, access or disposition of records.
- **B.** All records liaison officers shall attend the required basic records management training offered by the state commission of public records before they can store, withdraw, access or request the disposition of records.
- **C.** Records liaison officers are required to attend the basic records management training once every three <u>fiscal</u> years.

[1.13.12.11 NMAC - Rp, 1.13.12.10, 11/28/2017; A, 06/12/2018]

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