

This is an amendment to 1.13.30 NMAC, amending Sections 11, 12 and 13 effective 06/12/2018.

1.13.30.11 ON-SITE DESTRUCTION OF RECORDS: On-site destruction of records may occur at the custodial agency's location. For approval of on-site destruction, the records custodian, chief records officer or records liaison officer shall submit a request on a form approved by the state records administrator.

A. The form may be submitted electronically with a valid digital signature issued by the state records administrator.

B. The state records administrator or designee may inspect records prior to approval of on-site destruction.

C. ~~[The state records administrator may order the transfer of records to the state archives for review and appraisal.~~

D. ~~For legal and audit purposes, the agency shall retain a certificate of destruction as proof of the records destroyed. The certificate of destruction shall include but not limited to the following information:~~

- ~~(1) place and date of pick up;~~
- ~~(2) printed name and signature of employee(s) performing service;~~
- ~~(3) printed name and signature of witnesses;~~
- ~~(4) number of pounds destroyed/shredded (vendor) or number of boxes (agency certified);~~
- ~~(5) date of destruction/shredding;~~
- ~~(6) identification of company's authorizing agent by name and position;~~
- ~~(7) printed name and signature of official certifying the destruction (vendor or records liaison officer); and~~

~~(8) statement that shredded records cannot be read, interpreted, or reconstructed.] The state records administrator may suspend destruction of records determined to have historical value and, upon approval of the commission, transfer the records to the state archives.~~

D. For legal and audit purposes, the agency shall retain a certificate of destruction as proof of the records destroyed. The certificate of destruction shall include, at a minimum, the following information:

- (1) For paper records:
 - (a) place and date of pick up;
 - (b) printed name and signature of employee(s) performing service;
 - (c) printed name and signature of witnesses;
 - (d) number of pounds destroyed/shredded (vendor) or number of boxes (agency certified);
 - (e) date of destruction/shredding;
 - (f) identification of company's authorizing agent by name and position;
 - (g) printed name and signature of official certifying the destruction (vendor or designated records management personnel); and
 - (h) statement that shredded records cannot be read, interpreted, or reconstructed.

- (2) For electronic records:
 - (a) records classification;
 - (b) printed name and signature of employee(s) performing destruction;
 - (c) printed name and signature of record owner;
 - (d) number of e-records destroyed;
 - (e) date of destruction;
 - (f) printed name and signature of official certifying the destruction (designated records management personnel); and

~~(g) statement that destroyed records cannot be read, interpreted, or reconstructed.~~

E. Approved methods for on-site destruction of records are as follows:

(1) Records that contain confidential or sensitive information shall be destroyed through a bonded, insured, and national association for information destruction (NAID) AAA document recycling vendor by shredding in such a manner that the information cannot be read, interpreted or reconstructed.

(2) Records that do not contain confidential or sensitive information shall be destroyed by:

- (a) recycling by a bonded document recycling vendor;
- (b) shredding; or

- (c) dumpsite burial.
- (3) Records which have been contaminated may be destroyed by:
 - (a) any of the approved methods described above; or
 - (b) incineration.
- (4) Agencies shall select from the following methods of destruction for electronic records:
 - (a) erasure from electronic media and all back up media;
 - (b) overwriting of reusable magnetic media multiple times as recommended by the United States (U.S.) department of defense;
 - (c) degaussing of the magnetic media; or
 - (d) physical destruction of the media as recommended by the U.S. department of defense.

[1.13.30.11 NMAC - Rp, 1.13.30.11 NMAC, 11/28/2017; A, 06/12/2018]

1.13.30.12 RECORDS DELIVERED TO THE RECORDS CENTER FOR DESTRUCTION: Agencies storing records at their location may deliver records that have met their retentions to the records center for destruction. For approval to deliver records to the records center for destruction, the records custodian, chief records officer or records liaison officer shall submit a request on a form approved by the state records administrator.

A. The form may be submitted electronically with a valid digital signature issued by the state records administrator.

B. The state records administrator or designee may inspect records prior to the acceptance of the shipment for destruction.

C. ~~[The state records administrator may order the transfer of records to the state archives for review and appraisal.]~~ The state records administrator may suspend destruction of records determined to be of historical value and, upon approval of the commission, transfer the records to the state archives.

D. The approved request for destruction shall match items delivered to the records center for destruction. When a discrepancy is found between what is listed on the approved request and what is delivered to the records center, the shipment shall be rejected and the agency shall remove the shipment from the records center.

E. Agencies utilizing the records centers for destruction services shall use boxes equivalent to 15" x 10" x 12" in size.

F. Records destroyed through the records center shall be assessed a fee per box. Agencies shall have a purchase order in place prior to delivery of the shipment to the records center. For information on the fee schedule, refer to 1.13.2 NMAC.

G. Destruction, returns and storage services will be suspended if the agency has an invoice that is 90 days or more past due.

[1.13.30.12 NMAC - Rp, 1.13.30.12 NMAC, 11/28/2017; A, 06/12/2018]

1.13.30.13 DISPOSITION OF RECORDS STORED IN THE RECORDS CENTER:

A. Upon receiving a disposition authorization notice for records stored in the records center, only the custodial agency's records custodian or chief records officer shall review the report of records to be destroyed or transferred to archives and respond by the established deadline. Records liaison officers do not have authority to sign the disposition authorization notice for records stored at the records center.

B. Failure to return a completed disposition authorization notice by the established deadline shall result in a storage fee for records that are eligible for destruction. In addition, the return of withdrawn boxes, storage and disposition services will be suspended. For information on the fee schedule, refer to 1.13.2 NMAC.

C. Records destroyed through the annual destruction process shall be assessed a fee per box. For information on the fee schedule, refer to 1.13.2 NMAC.

D. Destruction, returns and storage services will be suspended if the agency has an invoice that is 90 days or more past due.

[1.13.30.13 NMAC - Rp, 1.13.30.13 NMAC, 11/28/2017; A, 06/12/2018]