

This rule was filed as NMPS Rule 780.

**TITLE 17 PUBLIC UTILITIES AND UTILITY SERVICES**  
**CHAPTER 12 WATER SERVICES**  
**PART 780 FILING REQUIREMENTS IN SUPPORT OF RATE SCHEDULES FOR CLASS C AND D WATER UTILITIES**

**17.12.780.1 ISSUING AGENCY:** New Mexico Public Service Commission [New Mexico Public Regulation Commission].  
[Recompiled 12/30/01]

**17.12.780.2 SCOPE:**  
[Recompiled 12/30/01]

**17.12.780.3 STATUTORY AUTHORITY:**  
[Recompiled 12/30/01]

**17.12.780.4 DURATION:**  
[Recompiled 12/30/01]

**17.12.780.5 EFFECTIVE DATE:**  
[Recompiled 12/30/01]

**17.12.780.6 OBJECTIVE:** The purpose of NMPS Rule 780 [17.12.780 NMAC] is to define and specify the minimum data requirements to be filed by water utilities in support of a tendered new rate schedule or rate schedules which will supersede, supplement, or otherwise change the provision of a rate schedule required to be on file with the Commission.  
[Recompiled 12/30/01]

**17.12.780.7 DEFINITIONS:**  
[Recompiled 12/30/01]

**17.12.780.8 [TABLE OF CONTENTS]**  
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[Recompiled 12/30/01]

**17.12.780.9 MINIMUM DATA REQUIREMENTS FOR RATE FILINGS:** Provisions for rate filings are divided into the three (3) levels of operation set out in the classification of water utilities in NMPS Rule 710.4 [17.12.1.7 NMAC]. NMPS Rule 780 [17.12.780 NMAC] provides that water utilities shall file for rates in one of three formats. Class A and Class B water utilities as defined in NMPS Rule 710.4 [17.12.1.7 NMAC] must file the most comprehensive package, set forth in the Appendix to NMPS Rule 730 [17.12.730 NMAC]; Class C water utilities as defined in NMPS Rule 710.4 [17.12.1.7 NMAC] may file a simpler package, set forth in the Appendix; Class D water utilities as defined in NMPS Rule 710.4 [17.12.1.7 NMAC] may file the most abbreviated package, set forth in the Appendix.  
[Recompiled 12/30/01]

**17.12.780.10 RATE FILING PACKAGE REQUIRED OF CLASS C WATER UTILITIES:**  
A. To meet the Commission's minimum data filing requirements specified herein, the Class C water utility applicant must maintain adequate financial records fully separated from any commonly owned enterprise and

requires that the applicant have on file with the Commission fully completed Annual Reports for the immediate past year and for at least the two (2) prior years if the applicant has been in existence that long.

B. The rate application form is based on the point in time concept represented by year-end operations for measuring rate base and the cost of service. This approach tends to close the time lag between the test period and the implementation of rates. In using this method, new plant added any time during the test period may be included in the year-end plant investment. If the added plant produces additional revenues, the added revenue and expense effect will be recognized in cost of service.

C. The test year used should be the most recent calendar year for which an Annual Report has been filed.

[Recompiled 12/30/01]

**17.12.780.11 RATE FILING PACKAGE REQUIRED OF CLASS D WATER UTILITIES:**

A. A Class D water utility may apply for rate adjustments by means of the formal procedure outlined in rules governing Class C water utilities or by following the procedure prescribed below which is intended to reduce filing requirements to shorten the time period between application and Commission Order. This procedure assumes that the applicant has maintained adequate financial records fully separated from any commonly owned enterprise and requires that the applicant has on file with the Commission fully completed Annual Reports for the immediate past year and for at least the two (2) prior years when the applicant has been in existence that long.

B. It is contemplated that in most cases Staff will be able to analyze the case and make its recommendation on the basis of written materials submitted by the Company.

C. Such materials will consist of the last three (3) Annual Reports, information contained in or supplied with the application form, and information furnished in response to requests made to the applicant by Commission Staff or intervenors.

[Recompiled 12/30/01]

**17.12.780.12 [HISTORY:** NMPUC Case No. 2086, order dated June 30, 1988, unless otherwise noted; amended by NMPUC Case No. 2561, Order dated April 4, 1994. Formerly part of General Order No. 42, superseded for purposes of rule reorganization and codification.].

[Recompiled 12/30/01]

**17.12.780.13 APPENDIX:** [See pdf file 17.12.780.13.pdf]

[Recompiled 12/30/01]

**HISTORY OF 17.12.780 NMAC**

Pre-NMAC History: The material in this part was derived from that previously filed with the Commission of Public Records-State Records Center and Archives.

General Order No. 42, Regulations Governing Water Utilities, 5/2/84.

NMPSC Rule 710, General Provisions For Water Utilities, 6/30/88.

NMPSC Rule 720, Uniform Systems Of Accounts And Annual Report Forms For Water Utilities, 6/30/88.

NMPSC Rule 730, Filing Requirements In Support Of Rate Schedules For Class A And B Utilities, 6/30/88.

NMPSC Rule 740, Certificates Of Public Convenience And Necessity For Water Utilities, 6/30/88.

NMPSC Rule 750, Minimum Standards For Design, Construction, And Operation Of Water Utilities, 6/30/88.

NMPSC Rule 760, Customer Service Rules And Regulations For Water Utilities, 6/30/88.

NMPSC Rule 780, Filing Requirements in Support of Rate Schedules For Class C and D Water Utilities, 6/30/88.

History of Repealed Material: [RESERVED]